Typed or printed name

Signature

10/605,005

08/31/2003

Chien-Sheng Yang

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Filing Date

Applicati n Number

First Named Inventor

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Group Art Unit Examiner Name

| Total Number | of Pages in This Subm | ission | 3 | Attorney Dock | et Numbe | AUOP0008USA | | |
|--|---|--------|--|--|---------------|--|--|--|
| ENCLOSURES (check all that apply) | | | | | | | | |
| Extension of Time Express Abandonn Information Disclos Certified Copy of P Document(s) Response to Missir Incomplete Applica | eclaration(s) Request ment Request sure Statement riority | Rema | Drawing Licensin Petition Petition Provision Power o Change Address Terminal Request CD, Nur | g-related Papers to Convert to a nal Application f Attorney, Revoc | cation ice | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): | | |
| | SIGNATUI | RE OF | APPLIC | ANT, ATTORN | NEY, OR A | AGENT | | |
| Firm or Individual name | Winston Hsu, Reg. No.: 41,526 | | | | | | | |
| Signature Windston Hay Date 2123/2003 | | | | | 1 | | | |
| Date 9/23/2003 | | | | | | | | |
| CERTIFICATE OF MAILING | | | | | | | | |
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PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

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| Application Number | 10/605,005 |
| Filing Date | 08/31/2003 |
| First Named Inventor | Chien-Sheng Yang |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | AUOP0008USA |

| METHOD OF PAYMENT (check all that apply) | EEE CALCUL ATION | | | | | |
|---|---|-------|-------------|-------------|---|----------|
| | FEE CALCULATION (continued) | | | | | |
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: | Large Entity Small Entity | | | | | |
| Deposit Account 50-0801 | | | Fee Code | Fee (\$) | Fee Description | Ene Dela |
| Number | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | Fee Paid |
| Deposit Account Name North America International Patent Office | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| The Commissioner is authorized to: (check all that apply) | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Charge fee(s) indicated below Credit any overpayments | 1812 2, | ,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) during the pendency of this application | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to | |
| Charge fee(s) indicated below, except for the filing fee | | | 4005 | 4 0 40+ | Examiner action | ├──┤ |
| to the above-identified deposit account. | 1805 1, | ,840 | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| FEE CALCULATION | | 110 | 2251 | 55 | Extension for reply within first month |]] |
| 1. BASIC FILING FEE | 1252 | 410 | 2252 | 205 | Extension for reply within second month | <u> </u> |
| Large Entity Small Entity Fee Fee Fee Fee Fee Fee Paid | 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| Code (\$) Code (\$) Fee Description Fee Paid | 1254 1,4 | 450 | 2254 | 725 | Extension for reply within fourth month | L |
| 1001 750 2001 375 Utility filing fee | 1255 1,9 | 970 | 2255 | 985 | Extension for reply within fifth month | |
| 1002 330 2002 165 Design filing fee | 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1003 520 2003 260 Plant filing fee | 1402 | 320 | 2402 | | Filing a brief in support of an appeal | |
| 1004 750 2004 375 Reissue filing fee | 1403 | 280 | 2403 | | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,5 | 510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 0.00 | 1452 1 | 110 | 2452 | | Petition to revive - unavoidable | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1453 1,3 | 300 | 2453 | 650 | Petition to revive - unintentional | |
| _ Fee from | 1501 1,3 | 300 | 2501 | 650 | Utility issue fee (or reissue) | |
| Total Claims below Fee Paid | 1502 4 | 470 | 2502 | 235 | Design issue fee | |
| Independent | 1503 e | 630 | 2503 | 315 | Plant issue fee | |
| Claims - 3** = L X L = L X Multiple Dependent | 1460 1 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Large Entity Small Entity | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Fee Fee Fee Fee Description | 1806 1 | 180 | 1806 | | Submission of Information Disclosure Stmt | |
| Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1201 84 2201 42 Independent claims in excess of 3 | 1809 7 | 750 | 2809 | 375 | Filing a submission after final rejection | |
| 1203 280 2203 140 Multiple dependent claim, if not paid | 1810 7 | 750 | 2810 | | (37 CFR 1.129(a)) | ———-i I |
| 1204 84 2204 42 ** Reissue independent claims | | ~ | 2010 | 3/3 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 | | | 2801 | | Request for Continued Examination (RCE) | } |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 9 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| | Other fee | (spec | cifv) | | or a design application | |
| SUBTOTAL (2) (\$) 0.00 **or number previously paid, if greater; For Reissues, see above | Other fee (specify) *Reduced by Basic Filing Fee Paid SUBTOTAL (2) (6) 0.00 | | | | | |
| SUBMITTED BY | | | | | SUBTOTAL (3) (\$) 0.00 | |
| (Complete (# applicable) | | | | | | |

SUBMITTED BY

Name (Print/Type)

Winston Hsu

Registration No. (Attorney/Agent)

Signature

(Complete (if applicable)

Telephone 886289237350

Date

Date

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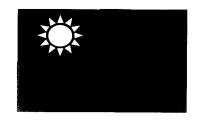
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DECLARATION — Supplemental Priority Data Sheet

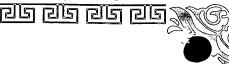
| Additional foreign applications: | | | | | | |
|--|--------------|-------------------------------------|-------------------------|------------------------------------|--|--|
| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? YES NO | | |
| 092119572 | Taiwan R.O.C | 07/17/2003 | | | | |
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INTELLECTUAL PROPERTY OFFICE MINISTRY OF ECONOMIC AFFAIRS REPUBLIC OF CHINA

茲證明所附文件,係本局存檔中原申請案的副本,正確無訛,

其申請資料如下:

리도 리드 리드 리드 리드 리드 리드

인터 인터 인터 인터 인터 인터 인터 인터 인터

This is to certify that annexed is a true copy from the records of this office of the application as originally filed which is identified hereunder:

西元 2003 年 07 月 17 日 請

Application Date

號 092119572

Application No.

申 人 : 友達光電股份有限公司

Applicant(s)

Director General

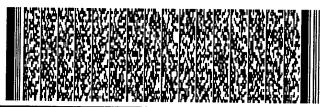
發文日期: 西元 _____ 年 8 月 26 Issue Date

發文字號: 09220854900

Serial No.

| 申請日期: | IPC分類 |
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| 申請案號: | |
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| (以上各欄 | 由本局填 | 發明專利說明書 |
|-------------------|-----------------------|--|
| _ | 中文 | 具可變電阻之光學式指紋感測器 |
| 發明名稱 | 英文 | OPTICAL FINGERPRINT SENSOR WITH VARIABLE RESISTORS |
| | 姓 名(中文) | 1. 楊健生 |
| = | 姓 名 (英文) | 1. Yang, Chien-Sheng |
| 發明人 (共1人) | 國籍(中英文) | 1. 中華民國 TW |
| ()(1)() | 住居所(中文) | 1. 台北市民生東路四段九十七巷四弄二十五號 |
| | 住居所 (英 文) | 1. No. 25, Alley 4, Lane 97, Sec. 4, Min-Sheng E. Rd., Taipei City, Taiwan R.O.C. |
| | 名稱或 姓 名 (中文) | 1. 友達光電股份有限公司 |
| | 名稱或 姓 名 (英文) | 1. AU Optronics Corp. |
| 三 申請人 (共1人) | 國 籍 (中英文) | 1. 中華民國 TW |
| | 住居所 (營業所) (中 文) | 1. 新竹市新竹科學工業園區力行二路一號 (本地址與前向貴局申請者相同) |
| | 住居所 (營業所) (英 文) | 1. No. 1, Li-Hsin Road 2, Science-Based Industrial Park Hsin-Chu City, Taiwan, R.O.C. |
| | 代表人(中文) | 1.李焜耀 |
| | 代表人 (英文) | Lee, Kuen-Yao |
| | | |



四、中文發明摘要 (發明名稱:具可變電阻之光學式指紋感測器)

五、(一)、本案代表圖為:第 五 圖 (二)、本案代表圖之元件代表符號簡單說明

40 辨識單元

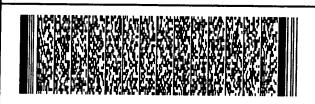
42 第一參考電壓端

44 第二參考電壓端

46 啟動端

六、英文發明摘要 (發明名稱:OPTICAL FINGERPRINT SENSOR WITH VARIABLE RESISTORS)

A fingerprint sensor for sensing a fingerprint has a detecting and processing circuit and a plurality of sensing units. Each of the sensing units has a switch element, a first resister, and a second resister. The switch element has a first terminal, a second terminal, and a third terminal. Resistance of the second resister is fixed. The fingerprint influences intensity of





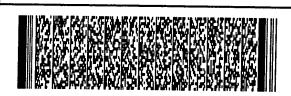
四、中文發明摘要 (發明名稱:具可變電阻之光學式指紋感測器)

48 輸出端

56 電晶體

六、英文發明摘要 (發明名稱:OPTICAL FINGERPRINT SENSOR WITH VARIABLE RESISTORS)

light illuminating the first resister so that resistance of the first resister and a voltage level of the second terminal change. When the switch elements are turned on, the detecting and processing circuit analyzes the fingerprint according to the voltage levels of the second terminals of the plurality switch elements.



| 一、本案已向 | | | _ |
|---|-----------------|------------------|------------------|
| 國家(地區)申請專利 | 申請日期 | 案號 | 主張專利法第二十四條第一項優先 |
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| 二、□主張專利法第二十五 | 五條之一第一項優 | 先權: | |
| 申請案號: | | | |
| 日期: | | 無 | • |
| 三、主張本案係符合專利法 | ★第二十條第一項 | □第一款但建武□ | 第二卦但書規定ラ期問 |
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五、發明說明 (1)

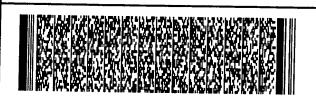
發明所屬之技術領域

本發明係概括關於一指紋感測器,尤指一種具可變電阻之光學式指紋感測器。

先前技術

請參考圖一,圖一為習知指紋感測器 10之功能方塊圖。 指紋感測器 10為一種電容式指紋感測器 (capacitive fingerprint sensor),其係藉由其偵測電容值的變化來 辨識指紋感測器 10包含有一辨識單元外部號 調處理電路 12以及一感應區 14。當一使用者欲辨應區 與處時,可將其手指按壓於感應區 14之上應 的相關電路依據其手指之 的相關電路依據其手指之 的應區 14所產生的訊號即會被傳送到辨識單元外 。 說應區 14所產生的訊號即會被傳送到辨識出使用者的 質別處理電路 12進行分析處理,以辨識出使用者的 類處理電路 12進行分析處理,以辨識出使用者的 類

請參考圖二,圖二為圖一感應區 14的電路圖。感應區 14 包含有複數個排列成矩陣的辨識單元 16,每一辨識單元 16皆是用來感應使用者之指紋上對應位置上的紋路,並 分別包含有一電晶體 18以及一偵測電容 Cf。當感應區 14 運作時,電晶體 18的源極 S會被施予一偵測訊號 Vs1或 Vs2,而當使用者的指紋按壓於感應區 14的表面時,偵測





五、發明說明 (2)

電容 Cf的 電容值會改變。當偵測電容 Cf的電容值改變時,電晶體 18的 閘極電壓即會因電容藕合效應而改變,其中電晶體 18閘極電壓的改變量 Δ Vg係由偵測電容 Cf的電容值所決定,並可以以下列方程式表示:

 $\Delta Vg = Vs \cdot Cgs / (Cgs + Cf)$

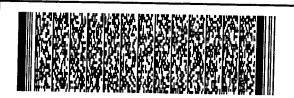
其中, V S為偵測訊號 V S 1或 V S 2的 電壓值; Cg S為電晶體 18之閘極 G與源極 S之間的寄生電容值;而 Cf則為偵測電容的電容值。

電晶體 18的 閘極電壓的變化量 A Vg會直接影響流經電晶體 18的電流 I之大小,而辨識單元外部訊號偵測處理電路 12即是依據電流 I的變化情形來辨識出使用者的指紋。然而,這種藉由偵測流經電晶體 18的電流 I之變化來辨識指紋的方法,其靈敏度易受到相鄰電晶體 18之漏電流的影響,而使得其辨識的準確度無法有效地提高。

發明內容

因此,本發明的目的即在於提供一種藉由偵測電位之變化來辨識指紋的指紋感測器,以解決上述的問題。

依據本發明實施的指紋感測器包含有一辨識單元外部訊

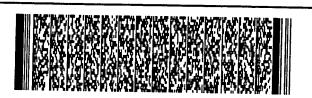


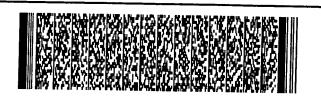


五、發明說明 (3)

實施方式

請參考圖三為本發明電阻式指紋感測器 50之功能 方塊圖。指紋感測器 50為一種電阻式指指紋感測器 50為一種電阻式指指紋感測 明 (resistive fingerprint sensor),其係籍則因電 阻值變化而改變的電壓值來辨識指數 52以及一號 含有一辨識單元外部訊號與理電配及一辨 54。感應區 54內包含有複數個辨識之電阻值會因受 照強度的不同而有所變化。





五、發明說明 (4)





五、發明說明 (5)

而改變,而第二電阻 R2可由氧化銦錫 (indium tin oxide, ITO) 製成。在本實施例中,電晶體 56為一金屬氧化半導體 (MOS)電晶體,其包含有一為閘極 (gate)的第一端 G、一為源極 (source)的第二端,以及一為汲極 (drain)的第三端。每一電晶體 56之 閘極 G連接於一對應的啟動端 46,啟動端 46可控制電晶體 56的導通與否。一般情況下,啟動端 46條處於浮接的狀態時,且電晶體 56是不導通的,然而當啟動端 46被施予一啟動電壓 Vs1、Vs2、Vs3或 Vs4時,電晶體 56內則會形成一通道,而使得源極 S與 汲極 D之間呈導通的狀態。除此之外,電晶體 56的源極 S連接於第一電阻 R1與第二電阻 R2,而其汲極 D則連接於一對應的輸出端 48。

當透光材質 60放置於感應區 54上,以對指紋 62進行感測時,一第一參考電壓 Vrefl會被施加於第一參考電壓端 42,而一第二參考電壓 Vref2會被施加於第二參考電壓端 44,其中第一參考電壓 Vref1的電壓值會異於第二參考電壓 Vref2的電壓值。因此,電晶體 56之源極 S的電位 Vs可以以下列方程式表示:

$$Vs = Vref1 - \left[\frac{r1}{r1 + r2}(Vref1 - Vref2)\right] = Vref2 - \left[\frac{r2}{r1 + r2}(Vref2 - Vref1)\right]$$

其中, r 1為第一電阻 R 1之電阻值, r 2為第二電阻 R 2之電阻值。

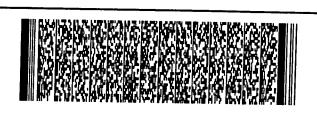




五、發明說明 (6)

當各電晶體 5 6之源極 S的電位 V s因指紋 6 2的遮蔽而改變後,辨識單元外部訊號偵測處理電路 5 2即會藉由各啟動端 4 6施加一啟動電壓 V s 1、 V s 2、 V s 3或 V s 4至 各電晶體 5 6之間極 G,以使對應的電晶體 5 6導通。當電晶體 5 6導通後,導通的電晶體 5 6之汲極 D的電位即會受到源極 S電位的影響而改變。因此,在導通狀態下的電晶體 5 6之汲極 D 電位亦可反映出指紋 6 2之條紋的排列情形。此外,辨識



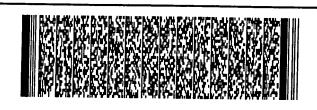


五、發明說明 (7)

單元外部訊號偵測處理電路 52可藉由輸出端 48來量測出 汲極 D的電位,之後再依據所量測的汲極 D電位來分析指 紋 62的條紋排列情形,以達到辨識指紋 62的目的。需說 明的是,未避免各電晶體 56的汲極 D電位相互干擾,同一 時間內,只有單一列的電晶體 56之閘極 G會被施予上述的 啟動電壓。

以上所述僅為本發明之較佳實施例,凡依本發明申請專利範圍所做之均等變化與修飾,皆應屬本發明專利之涵蓋範圍。





圖式簡單說明

圖式之簡單說明

圖一為習知電容式指紋感測器之功能方塊圖。

圖二為圖一指紋感測器之一感應區的電路圖。

圖三為本發明電阻式指紋感測器之功能方塊圖。

圖四為利用圖三指紋感測器辨識一指紋時之示意圖。

圖五為圖三感應區之電路圖。

圖式之符號說明

10、50 指紋感測器

12、52 辨識單元外部訊號偵測處理電路

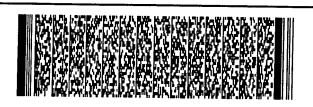
14、54 感應區 16、40 辨識單元

18、56 電晶體 42 第一參考電壓端

44 第二參考電壓端 46 啟動端

48 輸出端 60 透光材質

62 指紋 64 光線



六、申請專利範圍

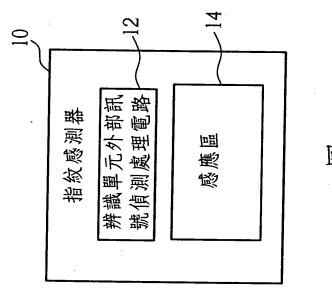
- 1.一種指紋感測器,用來辨識一指紋,該指紋感測器包含有:
- 一辨識單元外部訊號偵測處理電路;以及
- 複數個辨識單元,每一辨識單元分別包含有:
- 一開關元件,其包含有一第一端、一第二端以及一第三端,該第一端連接於一啟動端,該第三端連接於該辨識單元外部訊號偵測處理電路,而該啟動端係用來控制該開關元件之開啟及關閉;
- 一第一電阻,其一端連接於上述開關元件之第二端,該指紋會影響該第一電阻所受光照之強度,而使該第一電阻之電阻值改變,進而使該第二端之電位改變;以及一第二電阻,其一端連接於上述開關元件之第二端,且
- 一 第 二 電 阻 , 其 一 端 連 接 於 上 述 開 關 元 件 之 第 二 端 , 且 其 具 有 一 固 定 的 電 阻 值 ;
- 其中當該等開關元件開啟時,該辨識單元外部訊號偵測處理電路會依據該等開關元件之第二端的電位來辨識該指紋。
- 2.如申請專利範圍第 1項之指紋感測器,其中每一辨識單元第一電阻之一端皆電連接於一第一參考電壓端,且每一辨識單元第二電阻之一端皆電連接於一第二參考電壓端,一第一參考電壓施加於該第一參考電壓端,而該第一參考電壓。 多考電壓施加於該第二參考電壓端,而該第一參考電壓 之電壓值係異於該第二參考電壓之電壓值。



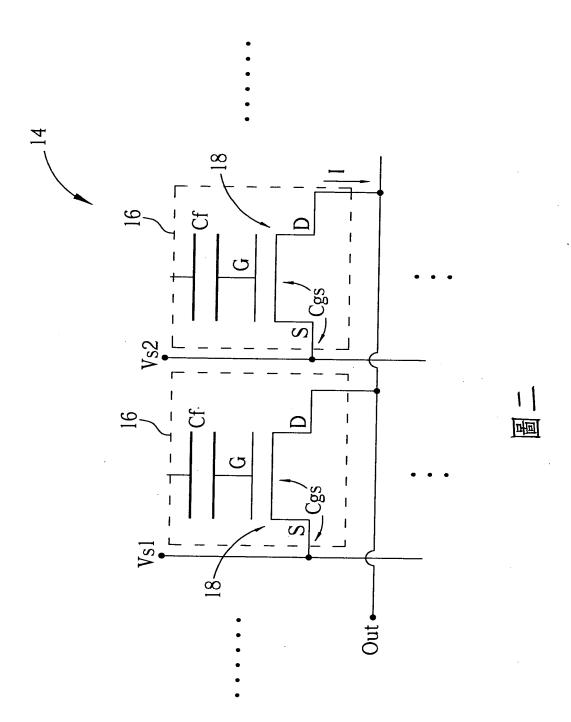
六、申請專利範圍

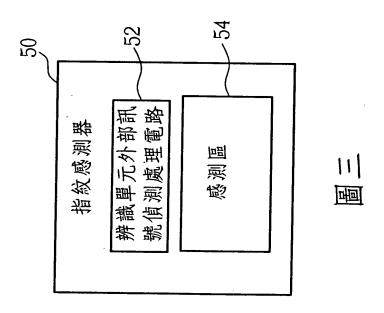
- 3.如申請專利範圍第1項之指紋感測器,其中每一開關元件皆為一電晶體。
- 4.如申請專利範圍第 1項之指 紋感 測器,其中該第一電阻係由非晶矽 (amorphous silicon)製成。
- 5.如申請專利範圍第 1項之指紋感測器,其中該第二電阻係由氧化銦錫 (indium tin oxide, ITO)製成。

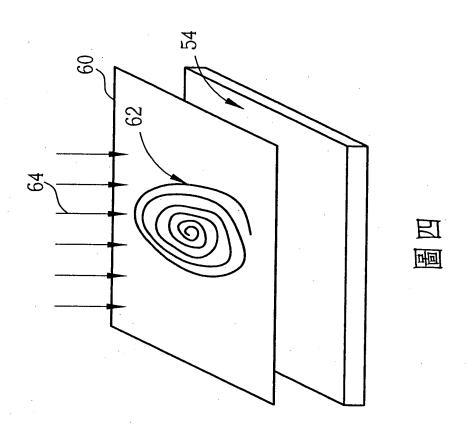


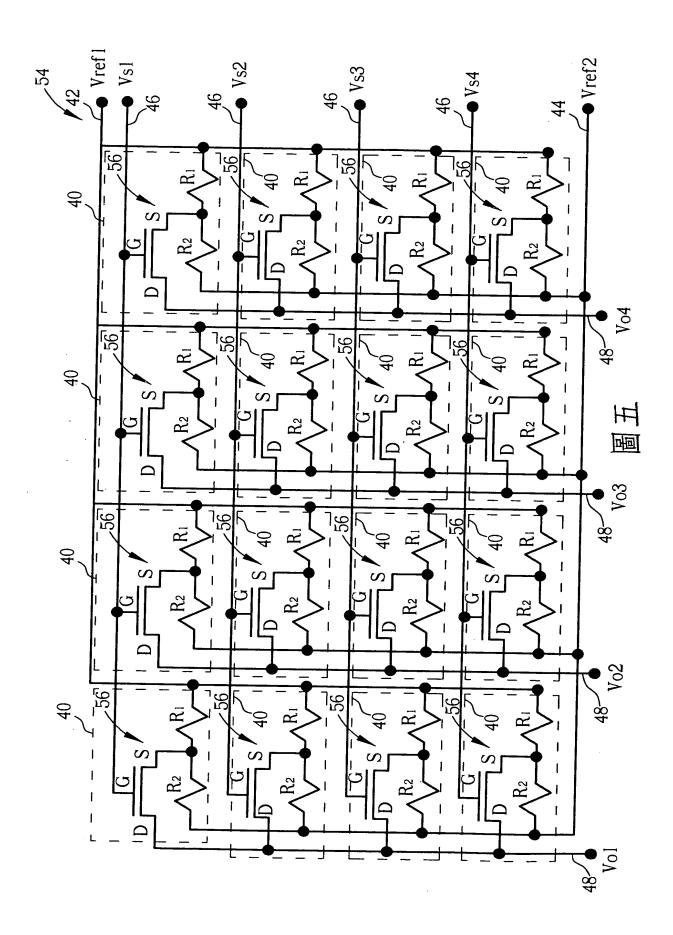


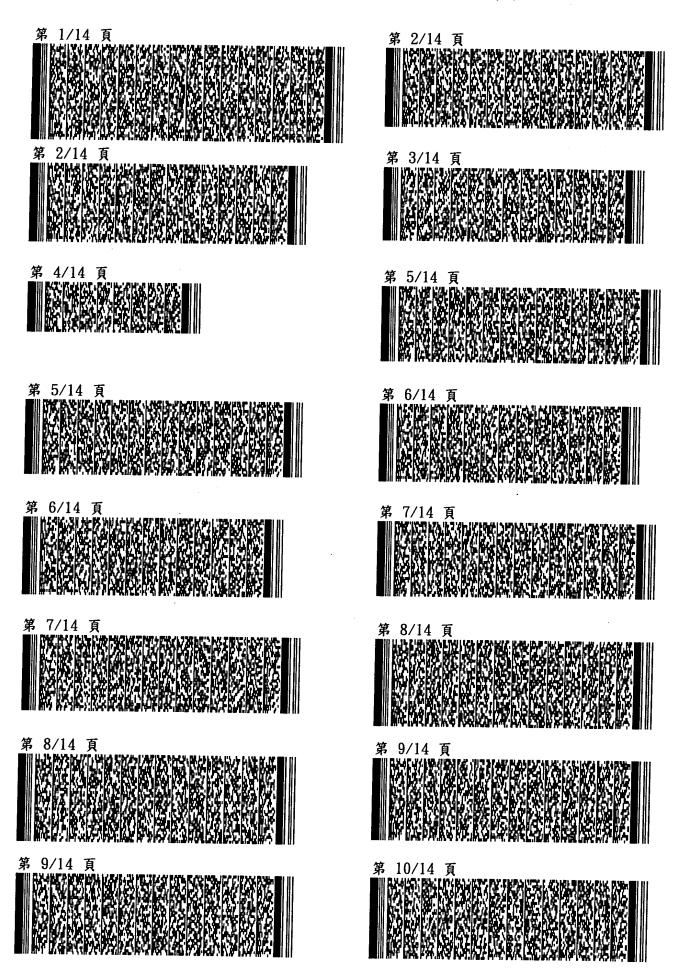
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(4.5版)申請案件名稱:具可變電阻之光學式指紋感測器

